

**Tennessee Ethics Commission**  
**July 8, 2014**  
**Minutes**

The Tennessee Ethics Commission met on Tuesday, July 8, 2014 at 9:00 a.m. at the Bureau of Ethics and Campaign Finance office. Members present were: Greg Hardeman, George Jaynes, Jim Stranch, Charles Traughber and Tammy White. In the absence of the chair, Keith Norman, Mr. Stranch chaired the meeting.

***May 20, 2014 Minutes***

Mr. Hardeman made the motion to approve the May 20, 2014 minutes. Ms. White seconded and the motion passed 5-0.

***Requests for Reconsideration***

*Samantha J. Purcell – 2014-105*

*Troy Muncey – 2014-085*

*Ronnie Keener – 2014-104*

*Carroll Cate – 2013-095*

*Health Services Management Group – 2014-006*

*TN Recovery and Monitoring – 2014-009*

A written request for reconsideration was submitted on behalf of Ms. Purcell. Ms. White made the motion to waive the \$1,000 assessment and Mr. Traughber seconded. The motion passed 5-0.

Mr. Muncey submitted a written request for reconsideration of the \$100 assessment. Mr. Hardeman made the motion to waive the \$100 assessment and Ms. White seconded. The motion passed 5-0.

A written request for reconsideration was submitted on behalf of Mr. Keener. Mr. Traughber made the motion to waive the \$500 assessment and Ms. White seconded. The motion passed 5-0.

Mr. Cate submitted a written request for reconsideration of the \$10,000 assessment. Mr. Hardeman made the motion to waive the assessment and Mr. Jaynes seconded. Mr. Hardeman withdrew that motion and made the motion to defer action until the next meeting to allow Mr. Cate an opportunity to file the 2013 Statement of Interests. Mr. Jaynes seconded and the motion passed 5-0.

Health Services Management submitted a written request for reconsideration of the \$750 assessment for failure to timely file the expenditure report. Mr. Hardeman made the motion to waive the assessment and Mr. Traughber seconded. The motion passed 5-0.

Tennessee Recovery & Monitoring submitted a written request for reconsideration of the \$750 assessment for failure to timely file the expenditure report. Mr. Hardeman made the motion to waive the assessment and Mr. Traugher seconded. The motion passed 5-0.

### ***Informal Civil Penalty Assessment – Local Candidates***

Mr. Hardeman made the motion to take no action on filers who were 1 to 14 days late. The motion was withdrawn. Ms. White made the motion, and Mr. Hardeman seconded, to assess no civil penalties on the following filers who were 1 to 7 days late. The motion passed 5-0.

*Robert E. Boykin, 2014-124*  
*James R. Comer, 2014-125*  
*Jerome K. Dixon, 2014-126*  
*Ray Shrader, 2014-127*  
*Hollis W. Skinner, 2014-128*  
*Victor White, 2014-178*  
*Allen Loope, 2014-176*  
*Charles R. Grant, 2014-177*  
*Harry A. Christensen, 2014-129*  
*Alvin R. England, 2014-130*  
*Joshua V. Brannon, 2014-131*  
*Leslie Henning, 2014-132*  
*Hershell Koger, 2014-133*

*Dusty Emerson, 2014-174*  
*Clifford Kohlmeyer, 2014-179*  
*Eddie Cook, 2014-180*  
*Robert Short, 2014-134*  
*Blake Rymer, 2014-135*  
*Jack A. Lynch, 2014-175*  
*James L. Watford, 2014-137*  
*Jeff Hipsher, 2014-138*  
*Tim Nix, 2014-139*  
*Buddy Carl Edwards, 2014-181*  
*Terry McCreight, 2014-183*  
*Wallace W. Goins, 2014-185*  
*Donnell Cobbins, 2014-186*

*Frederick L. Lucas, 2014-182*

Mr. Lucas submitted a statement explaining why he was 8 penalty days late filing the Statement of Interests. Ms. White made the motion to assess no civil penalty and Mr. Traugher seconded. The motion passed 5-0.

*James M. Shephard, 2014-184*

Mr. Shephard submitted a statement explaining why he was 9 penalty days late filing the Statement of Interests. Mr. Hardeman made the motion to assess no civil penalty and Ms. White seconded. The motion passed 5-0.

*Theron Gross, 2014-140*

Mr. Gross submitted a statement explaining why he was 10 penalty days late filing the Statement of Interests. Mr. Hardeman made the motion to assess no civil penalty and Mr. Traugher seconded. The motion passed 5-0.

Ms. White made the motion, and Mr. Hardeman seconded, to assess a \$25 civil penalty on the following filers who were 7 to 14 days late and did not provide statements. The motion passed 5-0.

*Scott Brady, 2014-187*  
*William “Bill” Hatfield, 2014-188*  
*Tony Webb, 2014-189*

*Jason Sanders, 2014-141*  
*Chad Whitt, 2014-142*

Mr. Hardeman made the motion to assess a \$50 civil penalty against the following filers who were 15 to 30 days late and did not provide statements. Mr. Traughber seconded and the motion passed 5-0.

*Steven Mulroy, 2014-13*  
*Larry Nunley, 2014-144*

*Pam Ladd, 2014-151*

Ms. Ladd submitted a statement explaining why she was 69 penalty days late filing the Statement of Interests. Mr. Traughber made the motion to assess no civil penalty and Mr. Stranch seconded. The motion passed 5-0.

*Hackett Lee Lance, 2014-146*

A statement was submitted on behalf of Mr. Lance that explained why he was 76 penalty days late filing the Statement of Interests. Mr. Hardeman made the motion to assess no civil penalty and Mr. Traughber seconded. The motion passed 5-0.

Mr. Hardeman made the motion to assess a \$1,000 civil penalty against the following candidates who did not file a Statement of Interests. Ms. White seconded and the motion passed 5-0.

*Ralph White, 2014-145*  
*Jeremiah Vandagriff, 2014-147*  
*Terry Stewart, 2014-148*  
*Kirkland Robinson, 2014-149*

*Wesley Nokes, 2014-150*  
*Robert Medina, 2014-152*  
*John W. Housewright, 2014-152*  
*Kenneth C. Housewright, 2014-154*

### ***Informal Civil Penalty Assessment – Local Officeholders***

*Lawrence “Larry” D. Turley, 2014-155*

Ms. White made the motion to assess no civil penalty on Mr. Turley for filing 5 penalty days late. Mr. Hardeman seconded the motion and the motion passed 5-0.

Ms. White made the motion to assess a \$50 civil penalty on the following filers who were 25 and 30 penalty days late, respectively.

*Gary Hamilton, 2014-156*  
*Dennis Stephen McCartney, 2014-157*

### ***Informal Civil Penalty Assessment –Lobbyists***

#### *Patrick Fosbinder, 2014-118*

Mark Greene relayed a request from Mr. Fosbinder that this matter be deferred until the next meeting when he could attend. After discussion, Mr. Hardeman made the motion to assess no civil penalty because the registration fee had been paid. Mr. Traugher seconded and the motion passed 5-0.

#### *Johnny Knowles, 2014-119*

Ms. White made the motion to assess a \$750 civil penalty because the registration fee had not been paid. Mr. Hardeman seconded and the motion passed 5-0.

#### *John Davis – 2014-120*

Mr. Davis appeared before the Commission and explained that he had not paid the registration fee because he had not actually lobbied for the employer and subsequently withdrew the registration. Mr. Traugher made the motion to assess no civil penalty and Mr. Jaynes seconded. The motion passed 5-0.

### ***Informal Civil Penalty Assessment –Employers of Lobbyists***

#### *Christian Family Medicine, Inc., 2014-121*

A statement was submitted explaining why the registration fee was not timely paid. Mr. Hardeman made the motion to assess no civil penalty because the fee had been paid. Mr. Jaynes seconded and the motion passed 5-0.

#### *A-1 Interlocks, 2014-122*

Mr. Hardeman made the motion to assess a \$750 civil penalty because the registration fee had not been paid. Ms. White seconded and the motion passed 5-0.

#### *Red Bull North America, 2014-123*

Mr. Hardeman made the motion to assess a \$750 civil penalty because of Red Bull's failure to register. Ms. White seconded and the motion passed 5-0.

### ***Show Causes***

#### *Warren Broemel, 2014-115*

Mr. Broemel appeared before the Commission and explained why two of his lobbyist registrations were late. Mr. Hardeman made the motion to take no action and Mr. Traugher seconded. The motion passed 5-0.

#### *Robert Hobart, 2014-116*

Mr. Hobart submitted a statement explaining why he had not timely filed his registration. Mr. Hardeman made the motion to take no action and Ms. White seconded. The motion passed 5-0.

*Bradley Stevens, 2014-117*

Americans for Prosperity provided a statement on behalf of its lobbyist, Bradley Stevens. The statement explained why Mr. Stevens was late filing his registration. Mr. Hardeman made the motion to take no action and Ms. White seconded. The motion passed 5-0.

*Kidwell and Company, 2014-113*

Larry Kidwell appeared before the Commission and explained why the employer registration was not timely filed. Mr. Hardeman made the motion to take no action and Mr. Traugher seconded. The motion passed 5-0.

*The Babcock and Wilcox Co., 2014-114*

The Babcock and Wilcox Co. provided a statement explaining why the employer registration was not timely filed. Mr. Hardeman made the motion to take no action and Mr. Jaynes seconded. The motion passed 5-0.

There being no other business before the Commission, the meeting adjourned.